# American Cancer Society Tests to Find Cancer Early

# Ask your doctor or nurse about these tests.

Cancer Type	Who	When	What	How Often
Breast cancer	Women*	Starting at age 20	<ul> <li>If you notice any change in your breasts, such as a lump, tell your doctor or nurse right away. You may choose to do BSE (breast self-exam) to find breast changes.</li> <li>Have an exam of your breasts by a doctor or nurse.</li> </ul>	Every year Every 3 years
17.50		Starting at age 40 and older	<ul> <li>Have a mammogram (x-ray) of your breasts AND an exam of your breasts by a doctor or nurse.</li> <li>If you notice any change in your breasts, such as a lump, tell your doctor or nurse right away. You may choose to do BSE (breast self-exam) to find breast changes.</li> </ul>	Every year
Cervical cancer	Women**	Starting about 3 years after you start having sex but no later than age 21	Have ONE of the following: • The regular Pap test OR • The newer liquid Pap test	Every year Every 2 years
		Starting at age 30	ff you have had 3 normal Pap tests in a row, you may have: The regular or liquid Pap test OR A Pap test with the new HPV test If you have NOT had 3 normal Pap tests in a row, then continue with your Pap tests every 1 or 2 years.	Every 2 to 3 years Every 3 years
Prostate cancer	African American men and men with a close famlly member with prostate cancer	Starting at age 45	African American men and men with a close family member with prostate cancer before age 65 Talk to your doctor about the pros and cons of prostate cancer testing so you can decide if getting tested is the right choice for you. If you decide to be tested, you should have the PSA blood test with or without a rectal exam. How often you are tested will depend on your PSA level.	Every year
	All other men	Starting at age 50	All other men Talk to your doctor about the pros and cons of prostate cancer testing so you can decide if getting tested is the right choice for you. If you decide to be tested, you should have the PSA blood test with or without a rectal exam. How often you are tested will depend on your PSA level.	Every year
Colon cancer	Men and women*	Starting at age S0	<ul> <li>Have ONE of these tests:</li> <li>Tests that will find polyps and cancer:</li> <li>A test to look into the lower part of the colon (flexible sigmoidoscopy), OR</li> <li>An x-ray of the colon (barium enema), OR</li> <li>A test to look into the entire colon (colonoscopy), OR</li> <li>A CT scan of the entire colon</li> <li>Tests that will find mainly cancer:</li> <li>A test to check for blood in your stool, OR</li> <li>A test to check for cancer cells in your stool</li> <li>Tests that have the best chance of finding both polyps and cancer should be your first choice when possible. Talk with your doctor or nurse to find out which tests you can get and then decide which test you want to have.</li> </ul>	Every 5 years Every 5 years Every 10 years Every 5 years Every year We don't know how often it should be done.
Other cancers	Women	Starting at age 20	Your doctor or nurse should check your thyroid gland, mouth, skin, lymph nodes, and ovaries.	Whenever you have your regular checkup
Other cancers	Men	Starting at age 20	Your doctor or nurse should check your thyroid gland, mouth, skin, lymph nodes, and testicles.	Whenever you have your regular checkup

\*You may need to begin testing for colon cancer or breast cancer earlier or be tested more often if you are more likely than other people to have these cancers. Talk to your doctor about this. \*\*If you have had a hysterectomy (your uterus and cervix have been removed), you may choose to stop having the Pap test, unless the surgery was for cancer. If you are 35 or older and have had an inherited type of colon cancer called HNPCC or someone in your family has had this type of cancer, then you may need to be tested each year for cancer of the endometrium (lining of the uterus). This testing is done with a biopsy.

Be sure to tell your doctor or nurse if you have had any type of cancer or if your mother, father, brother, sister, or children have had cancer.

Don't use tobacco. If you do, ask your doctor or nurse about quitting.





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# Prevention & Early Detection of Colorectal Cancer

A CRICO DECISION SUPPORT TOOL

Created: 2004 Revised: 2006, 2010 Current: 2014



# Prevention and Early Detection of Colorectal Cancer

## A DECISION SUPPORT TOOL

OLORECTAL CANCER is the second leading cause of cancer-related death in the United States. It is also among the most common types of cancer cited in diagnosis-related malpractice claims naming CRICO-insured physicians. Common causal factors underlying missed or delayed colorectal cancer diagnoses include:

- a physician—often due to a narrow diagnostic focus—fails to order diagnostic testing or provide ongoing monitoring of a patient who exhibits worrisome symptoms, including rectal bleeding, or for signs such as unexplained iron deficiency anemia;
- a physician whose practice fails to track compliance with and results from ordered screening tests—including stool cards, flexible sigmoidoscopies, and colonoscopies; and
- a primary care provider fails to follow routine cancer screening guidelines for colorectal cancer.

To address these risk issues, CRICO convened a task force of primary care providers and gastroenterologists to develop a colorectal cancer decision support tool to help clinicians:

- 1. Assess patients for colorectal cancer risk factors, particularly family history;
- 2. Stratify a patient's risk for colon cancer into one of three groups:

Average Risk Patients who are asymptomatic, over age 50, with no personal or family history of colorectal cancer or adenomas;

**Moderate Risk Patients** who have a family<sup>1</sup> or personal history of colorectal cancer or adenomas; and

**High Risk Patients** who have a genetic colorectal cancer syndrome<sup>2-5</sup> or inflammatory bowel disease.<sup>6-9</sup>

- 3. Offer appropriate screening modalities according to patient risk and patient preference; and<sup>10–13</sup>
- 4. Identify the advantages and disadvantages of each selected screening modality.<sup>10-13</sup>

*Prevention and Early Detection of Colorectal Cancer* is based on national colorectal cancer screening and clinical practice guidelines<sup>11–19</sup> and is a decision-support tool which should not be construed as a standard of care.

# Risk Management for Patients ≥ age 40 with Rectal Bleeding

- 1. Aggressively and completely investigate the cause of rectal bleeding, regardless of the patient's family history.
- Do not test for occult blood, as this may delay the ordering and completion of a colonoscopy.

# Risk Management for Colorectal Cancer Screening

- Average risk patients (age 50–75) with no history of colon cancer or adenomas—who have had a negative screening colonoscopy should be screened again after 10 years.
- Recognize increased risk of colorectal cancer for patients who are black, obese, heavy alcohol users, smokers, or have a history of non-gastrointestinal malignancies treated with chemotherapy or radiation.<sup>24–28</sup>
- Before ordering a screening colonoscopy or flexible sigmoidoscopy for a patient > age 75, discuss the risk and benefits, taking into consideration the patient's general quality of life and life expectancy.<sup>29-31</sup>
- Routine screening is, generally, not recommended for patients > age 85.
- 7. Discuss screening options with the patient and document the discussion and the patient's preference in the medical record.
- Single, in-office FOBT via digital exam is not adequate screening.<sup>32</sup>
- Recognize that the quality of bowel preparation may modify screening intervals. A split dose of prep is considered most effective. Oral sodium phosphate should not be used as a preparation for colonoscopy, given the small but definite risk of renal failure.<sup>33-35</sup>
- 10. Track and document screening tests and results.
- Follow up with the patient on all positive results. Document follow-up testing and/or referral recommendations.
- 12. Coordinate care and clarify roles and responsibilities among providers. Communicate the follow-up plan to the patient and the responsible providers.

# CRICO's Colorectal Cancer

Diagnosis-related Cases

8 cases filed 2008–2012; \$9.8 million total incurred losses

(i.e., aggregate of expenses, reserves, and payments on open and closed cases).

#### PHYSICIAN DEFENDANTS NAMED

4	gastroenterology
4	general medicine
1	family practice
1	general surgery

#### PATIENT AGE



#### BREAKDOWNS IN THE PROCESS OF CARE

STEP	# CASES	TOTAL INCURRED
1. Patient seeks care	0	0
2. History/physical/evaluation	3	\$2,890,000
<ol> <li>Order of diagnostic/ lab tests</li> </ol>	5	\$6,130,000
4. Performance of tests	0	0
5. Interpretation of tests	1	\$2,200,000
<ol><li>Receipt/transmittal of test results</li></ol>	1	\$1,040,000
<ol> <li>Physician follow up with patient</li> </ol>	2	\$2,080,000
8. Referral management	1	\$1,080,000
9. Patient compliance with follow-up plan	2	\$2,080,000

A case may involve multiple breakdowns.

#### ADHERENCE ACROSS CRICO WITH THE COLORECTAL CANCER DECISION SUPPORT TOOL

A review of medical records for patients who sought care by a CRICO-insured provider for a complaint of rectal bleeding indicates the following rates of adherence with the recommendations embedded in this guideline (N=1,370 records reviewed 2007–2011)

GUIDELINE	RECORDS SHOWING ADHERENCE
Capture personal history of predisposing colorectal cancer	89%
Capture family history of colorectal cancer	50%
Recommendations for patients less than 40	65%
Recommendations for patients 40-49	69%
Recommendations for patients age 40 and above	61%

# Malpractice Case Examples

- A 69-year-old woman with no prior CRC screening, whose sister died of colorectal cancer, presents with anemia. No work-up for her anemia is done. Four years after anemia noted, the patient dies of metastatic colon cancer.
  - Fully work up anemia, including family history.
- A 55-year-old woman with no history of screening presents with rectal bleeding, which her physician attributes to hemorrhoids. One year later, flexible sigmoidoscopy shows cancer of the rectum. The patient dies of metastatic rectal cancer.
  - Rectal bleeding requires a full work up.
- A 52-year-old man with rectal bleeding and recent 25lb weight loss is not referred for colonoscopy because the one he had performed a year prior was negative. A month after being treated for hemorrhoids, a flexible sigmoidoscopy and biopsy reveals invasive cancer. The patient dies the following year.
  - Interval cancers—often due to an inadequate prior procedure—should be considered in light of persistent complaints.
- A 69-year-old man with recurrent adenomas, including a villous adenoma, undergoes an incomplete colonoscopy (despite multiple attempts). Repeat colonoscopy is recommended in two years. Additional visualization of the colon is not done. Three years later, a 7cm malignant lesion is found in his proximal transverse colon. The patient dies of metastatic colon cancer.
  - Full visualization with CT colonography is mandated for a patient with a history of adenomas and an incomplete colonoscopy.

# Key Factors in Colorectal Cancer Malpractice Cases

- · Patients with rectal bleeding did not receive a prompt diagnostic evaluation
- Routine screening not recommended
- · Routine screening ordered but not followed up
- · Diagnostic test ordered, but not scheduled
- Diagnostic test scheduled, but not performed
- · Ordering or follow-up of screening or diagnostic procedures not documented
- Narrow diagnostic focus
- Abnormal finding not adequately evaluated
- Clinician does not convey to the patient the importance of keeping appointments for testing and follow-up
- Multiple providers for the same patient fail to properly communicate important information
- Patient is not notified of test results
- Informed refusal not documented
- · Important clinical information missing from clinical note

# Patients with Symptoms

Assess the patient for relevant symptoms (e.g., rectal bleeding; or for signs such as unexplained iron deficiency anemia\*) and review history of pertinent diagnostic testing. Your clinical expertise and shared decision making are key to developing an appropriate plan for each patient.



\*Colonoscopy is only part of the workup for patients with iron-deficiency anemia.

# Screening Patients without Symptoms

Update the patient's family history for cancers (especially, colorectal and endometrial) relevant to colorectal cancer risk. Note the relationship (i.e., parent, sibling, aunt, uncle, grandparent), type of cancer, and age at onset for each relative.

Assess the patient's risk status, including increased risk for patients who are black, obese, heavy alcohol users, smokers, or have a history of non-gastrointestinal malignancies treated with chemotherapy or radiation.

#### **AVERAGE RISK**

Individuals age 50-75 without any of the risk factors noted below

#### **MODERATE RISK**

Personal history of colorectal cancer or adenomas

Family history of colorectal cancer or adenomas

If any of the following is noted in the personal or family history, consider HNPCC/Lynch Syndrome (see page 7):

- Colorectal cancer before age 50
- Two or more cancers in the same individual
- · Colorectal or uterine cancer in two or more family members

#### **HIGH RISK**

High-risk personal or family history suggesting HNPCC/Lynch Syndrome (see page 7)

Familial adenomatous polyposis (FAP): 100s-1000s of adenomas

Attenuated polyposis: 5-100 adenomas

Other polyposis syndromes: Peutz-Jeghers, juvenile polyposis, MYH-associated polyposis, inflammatory bowel disease

# Patients at Average Risk



\* Suggested intervals for screening procedures are based on a good or excellent bowel preparation for colonoscopy or sigmoidoscopy. The success of the procedure in reaching the cecum is essential for a completed colonoscopy.<sup>37</sup>

#### Asymptomatic, age 50–75, no personal or family history of CRC or adenoma

# Patients at Moderate Risk



\* Consider genetic syndromes such as HNPCC/Lynch Syndrome. if there are multiple or early colon cancers or adenomas in the family. Refer to the High Risk Screening Algorithm.

\* Suggested intervals for screening procedures are based on the quality of the bowel preparation and the success of the procedure in reaching the cecum.<sup>37</sup> An inadequate clean out of the colon reduces the ability to detect lesions during either colonoscopy or sigmoidoscopy and mandates a repeat procedure at a shorter interval.<sup>37–45</sup>

\*An early follow-up colonoscopy is recommended when the endoscopist and/or pathologist is not certain that all adenomatous tissue was completely removed, or the pathologist notes worrisome features and recommends an early re-evaluation and biopsy of the polyp site.<sup>46-49</sup>

# Patients at High Risk



a Includes endometrial, ovarian, gastric, small bowel, urinary tract, pancreas, brain, and sebaceous gland.

b Presence of tumor infiltrating lymphocytes, mucinous differentiation/signet ring cell carcinoma, peritumoral Crohn's like lymphocytic reaction, medullary growth pattern.

\* If the index case is positive by genetic testing for HNPCC/Lynch Syndrome or FAP, and the family member (patient) is negative, then the screening recommendations should be guided by the patient's personal history.

# Advantages and Disadvantages of Colorectal Cancer Screening Options<sup>15-17</sup>

A shared decision-making process for selecting a screening modality is a key to patient compliance and timely detection and treatment.

#### HOME TESTS: FOBT & FIT

Low to moderate sensitivity

#### Evidence

Large randomized controlled trials demonstrate a decrease in CRC mortality of up to 33 percent. ^{12-13, 51-53}

Annual fecal occult blood test (FOBT) is 24 percent sensitive for advanced adenomas or colorectal cancer.<sup>54</sup>

Annual fecal immunochemical tests (FITs) use antibodies to detect human hemoglobin, and are not affected by diet or medications.<sup>13, 65–57</sup>

FIT significantly improves the sensitivity and specificity to 91 percent and 88 percent respectively for colorectal cancer detection.<sup>55</sup>

Change to FIT slightly increased colon cancer screening rates.58

The rate of positive results from FIT does not decrease after repeated colorectal cancer screening, but the positive predictive value of the FIT for advanced neoplasia and for colorectal cancer is significantly lower among second-round participants who tested negative in the first round.<sup>59</sup>

#### Advantages

Easy, safe, convenient

FIT detects colon cancer and advanced adenomas with increased sensitivity compared with the FOBT.

FOBT: heat stability is excellent and cost is less compared to other methods.

#### Disadvantages

FIT and FOBT must be repeated annually to be beneficial.

Standard FOBT requires dietary restrictions and multiple samples.

Positive tests require colonoscopy and (possibly) other testing.

The Hemoccult Sensa II $^{\tiny \square}$  is more sensitive, but has a lower specificity compared with Hemoccult II $^{\tiny \square}$ .

FIT vary in sensitivity and specificity.57

Requirement for three day testing with FOBT is less convenient than the single day for FIT.

#### FLEXIBLE SIGMOIDOSCOPY

Moderate sensitivity and specificity

#### Evidence

Two randomized controlled trials, one from the United kingdom and one from the United States, documented a decrease in mortality for distal colorectal cancer of about 50 percent after 11 years of follow-up when an initial screening flexible sigmoidoscopy is performed.<sup>20-21</sup>

Flexible sigmoidoscopy detects 70–80 percent of all CRC and large adenomas. $^{6\mathrm{o}}$ 

Approximately two percent of patients with normal findings on flexible sigmoidoscopy have a significant lesion in the proximal colon.  $^{60-61}$ 

The risk of perforation is less than 1 in 1,000.  $^{\rm 62-63}$ 

### Advantages

Safer and more convenient than colonoscopy

Takes about 10 minutes to perform and is usually well-tolerated without sedation

Most patients can drive home alone or return to work following the procedure.

#### Disadvantages

Requires bowel preparation with enemas

If adenomas found, further testing with colonoscopy is required to visualize the complete colon and remove polyps

Does not visualize most of the colon; some lesions will be missed

#### COLONOSCOPY

High sensitivity and specificity

#### Evidence

In cross-sectional screening studies, colonoscopy is more sensitive than FOBT, or flexible sigmoidoscopy combined with FOBT, for detecting large adenomas and CRC.  $^{\rm 60-61}$ 

Evidence from the National Polyp Study shows that patients who had adenomas removed during participation in the study had a 53 percent reduction in mortality from colon cancer over a median of 15.8 years. This supports the hypothesis that colonoscopic removal of adenomatous polyps prevents death from colorectal cancer and this prevention is long term.<sup>18</sup>

The benefit of colonoscopy is significant for decreasing mortality from left-sided colorectal cancer but not as strong for right-sided cancers.  $^{\tau_{1}.48-49}$ 

In U.S. studies, the overall risk of perforation was approximately 2 in 1,000, but lower if polypectomy was not performed.<sup>62-63</sup> The risk for perforation increases with increasing age and the presence of two or more comorbidities.<sup>62</sup>

Withdrawal time of the colonoscopist (> six minutes is recommended) has been correlated with the number of adenomas found in one study  $^{64}$  but not in another.  $^{95}$ 

The endoscopist's adenoma detection rate is an independent predictor of the risk of interval colorectal cancer and is considered a major quality indicator for the colonoscopic procedure.<sup>41</sup>

#### Advantages

Colonoscopy has the ability to detect and remove polyps at the time of the initial examination. Polypectomy has been shown to decrease colon cancer mortality."

Enables direct visualization of the entire colon when evidence—via landmarks—indicates the cecum was reached

#### Disadvantages

Colonoscopy requires an orally administered bowel preparation.

The exam takes about 30 minutes plus additional recovery time.

Patients need to be escorted home and are advised not to go back to work the same day if sedation is given.

Unlike home stool testing and sigmoidoscopy, no randomized trials of colonoscopy have shown benefit in decreasing CRC mortality. Observational studies show a benefit of ~50 percent decrease in mortality that is similar to randomized studies of sigmoidoscopy.

Mortality from proximal colon cancer, as compared to left-sided colorectal cancer, may be affected to a lesser degree by the performance of screening colonoscopy.<sup>11,43</sup>

#### CT COLONOGRAPHY ("VIRTUAL COLONOSCOPY")66-70

High sensitivity and specificity

#### Evidence

In a study of asymptomatic adults, CT colonographic screening identified go percent of patients with colon cancer or adenomas 10mm or larger in diameter.<sup>66–67</sup> Laxative-free colonography has been reported, but is not routinely available.<sup>68</sup>

CT colonography does not offer the ability to remove polyps and prevent cancer.

CT colonography should not be a modality of choice for high-risk patients with polyp syndromes or inflammatory bowel disease given its inability to detect flat lesions with accuracy or to remove polyps.

Recently MR colonography has also been shown to detect colon cancers and polyps with accuracy.  $^{\!\!\!\!\!\!\!\!\!\!^{n}}$ 

#### Advantages

Fast (10-15 minute), noninvasive imaging of the entire colon69

Sedation is not required; patients may drive home or return to work the same day.

Some patients find CT colonography to be more acceptable than standard colonoscopy.

Detection of some significant extra-colonic findings (mostly abdominal aortic aneurysms and renal cell carcinomas)

#### Disadvantages

Variability in sensitivity based on technique and experience of the radiologists Requires bowel preparation similar to colonoscopy (at present)

Requires a rectal tube to insufflate air into the colon, which can cause cramping

Exposure to radiation

Abnormal findings require a standard colonoscopy

Can miss small and flat adenomas

Detection of some incidental extra-colonic findings may lead to additional testing that otherwise would not have been done.

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# Project Support

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#### PATIENT-DETECTED RECTAL BLEEDING

The cause of rectal bleeding should be investigated to resolution, regardless of the patient's age, or personal or family medical history. A single, in office FOBT via digital exam is not an adequate assessment.

#### **GENETIC TESTING**

Patients with a complex personal or family history of colorectal cancer should be referred—along with family members—to a high-risk clinic (if available) for genetic counseling and development of their ongoing screening plans.

#### PREVENTION AND EARLY DETECTION OF COLORECTAL CANCER

Periodic screening and aggressive follow up of key symptoms can reduce a patient's likelihood of developing later stage colorectal cancer. Discuss the benefits and limitations of screening and the importance of reporting to you any symptoms (e.g., rectal bleeding, anemia, change in bowel habits). Patients should understand that, while early detection of colorectal cancer can significantly reduce the risk of mortality, health care providers cannot guarantee a cure based on the timing of the diagnosis. Patients may need to be educated as to the subtleties of research data, and discrepancies in findings among various studies.

# RISK OF COLORECTAL CANCER FOR PATIENTS YOUNGER THAN AGE 50

Ten percent of colorectal cancers occur in patients less than age 50: approximately eight percent between ages 40–50; two percent occur in patients younger than 40.<sup>71</sup> Other than an age of greater than 50 years, definite risk factors for an increased risk for colon cancer include having a family history of colorectal cancer, black race, obesity, heavy alcohol use, and smoking.

#### RISK OF INTERVAL COLORECTAL CANCER FOR PATIENTS WITH A SCREENING HISTORY

For patients > age 50 who present with symptoms in the months or years following a negative colonoscopy, explain that:

- if the colonoscopy was more than two years prior, a colonoscopy is recommended;
- if the colonoscopy was less than two years prior, was completed successfully, and was negative, then a repeat colonoscopy—or sigmoidoscopy— should be considered.

#### COLORECTAL CANCER SCREENING FOR ASYMPTOMATIC PATIENTS > AGE 75

Before ordering a screening colonoscopy or flexible sigmoidoscopy for a patient > age 75, discuss the risks and benefits, taking into account the patient's general quality of life. Routine screening is not recommended for patients over age 85, as the risks outweigh the benefits.

#### SCREENING OPTIONS

Patients respond best to a definitive recommendation from their primary care provider regarding the need for colorectal cancer screening and the most appropriate modality. As necessary, discuss and document the advantages and disadvantages of the relevant screening modes. Confirm with patients that they fully understand what's involved for each relevant modality. When you and the patient agree to a screening plan, confirm that the appointment has been made.

#### **BOWEL PREP**

For patients scheduled for colonoscopy or sigmoidoscopy, emphasize the importance of the bowel prep—including the fact that a poor prep reduces the ability to detect cancerous polyps and increases the likelihood that a repeat procedure will be necessary sooner than when the bowel prep is good.

#### TEST RESULTS

- Explain to the patient how test results will be communicated to him or her and (if appropriate) other clinicians.
- To ensure notification of test results, employ a system to track ordered tests through the receipt and communication to the patient.
- Document any conversations with patients regarding the reported results.

#### FOLLOW UP

- Make follow-up or test appointments before the patient leaves your office.
- Physicians and patients share responsibility for follow up; explain to your patients your tracking and compliance system (contacting patients a day or two before their follow-up appointments can reduce noncompliance).
- Track all referrals to ensure that you are receiving a timely report from the specialist.
- Ask the Gastroenterology Department or other specialist to notify your office of patients who do not keep scheduled appointments. Document all patient noshows or cancellations.
- If a patient refuses follow up, explain the risks of not having a recommended diagnostic test or procedure. Note the patient's refusal for follow up in the record; consider using an informed refusal form signed by the patient.

#### DOCUMENTATION

- Update and document the patient's personal and family history, and any physical examination; enter, in quotes, the patient's complaints (if any).
- During each visit, update the patient's risk factor assessment and your recommendations for screening based on that patient's current risk for developing colorectal cancer.
- Consider using a problem list to highlight patients with a positive family history of colorectal cancer.



This model can be viewed at:

# Brief Questionnaire to Identify Decision Stage<sup>\*</sup>

Use this questionnaire when starting a conversation with a patient about screening. It will help you identify the readiness of the patient for screening.

Describe the specific screening test – e.g., stool blood test, CT colonography (CTC), or colonoscopy (CS), etc.

**1.** Have you ever heard of a [stool blood test, CTC, CS]?

Yes – Go on

No – Stop (Stage 1)

2. Are you thinking about doing a [stool blood test, CTC, CS]?

Yes – Go on

No – Stop (Stage 1)

- **3.** Which of the following statements best describes your thoughts about doing a [stool blood test, CTC, CS] in the future?
  - a. I have decided against doing a [stool blood test, CTC, CS]. (Stage 0)
  - b. I'm thinking about whether or not to do a [stool blood test, CTC, CS] (Stage 2 or 3)
  - c. I have decided to do a [stool blood test, CTC, CS]. (Stage 4)

Responses place the individual in a decision stage related to screening test use:

Stage 0: Decided against

Stage 1: Never heard of

Stage 2: Heard of – not considering

Stage 3: Heard of – considering

\* Source: Adapted from RE Myers, 2003.

This questionnaire can be viewed at:

## **Appendix for Part 2 Clinic Guidance**

- 1. American Cancer Society: Colorectal cancer screening state and federal coverage laws
- 2. About.com: Colon Cancer
- 3. National Conference of State Legislatures: Colorectal Cancer Screening: What are States Doing?
- 4. GPRA Reporting Logic
- 5. PDSA Worksheet

## 1. American Cancer Society: Colorectal cancer screening state and federal coverage laws

http://www.cancer.org/Cancer/ColonandRectumCancer/MoreInformation/ColonandRectumCancerEarly Detection/colorectal-cancer-early-detection-screening-coverage-laws last accessed 10/13/2011

### The benefits of early detection colorectal cancer screening

Screening can find non-cancerous colorectal polyps and remove them before they become cancerous. If colorectal cancer does occur, early detection and treatment dramatically increase chances of survival.

The relative 5-year survival rate for colorectal cancer when diagnosed at an early stage before it has spread is about 90%. But only about 4 out of 10 colorectal cancers are found at that early stage. Once the cancer has spread to nearby organs or lymph nodes, the 5-year relative survival rate goes down, and if cancer has spread to distant organs (like the liver or lung) the rate is about 11%.

(A standard 5-year survival rate refers to the percentage of patients who live *at least* 5 years after their cancer is diagnosed; it includes people with colorectal cancer who may die of other causes, such as heart disease. Five-year *relative* survival rates are adjusted for patients dying of other diseases, so they reflect the chances of not dying specifically from colorectal cancer.)

Not only does colorectal cancer screening save lives, but it also is cost effective. Studies have shown that the cost-effectiveness of colorectal screening is consistent with many other kinds of preventive services and is lower than some common interventions. It is much less expensive to remove a polyp during screening than to try to treat advanced colorectal cancer. With sharp cost increases possible as new treatments become standards of care, screening is likely to become even more cost effective.

# What is needed to increase the use of colorectal cancer screening?

Several colorectal cancer screening tests are available, but only about half of people aged 50 and older have them. Some factors affecting their use could include lack of public and health professional awareness of screening tools, financial barriers, and inadequate health insurance coverage and/or benefits.

The American Cancer Society believes that all people should benefit from cancer screenings, without regard to health insurance coverage. Limitations on covered benefits should not block your ability to benefit from early detection of cancer. To that end, the Society supports policies that give all people access to and coverage of early detection screening for cancer. Such policies should be age - and risk-

appropriate and based on current scientific evidence as outlined in the American Cancer Society's early detection guidelines.

# State activity

A number of states, as well as the District of Columbia, have passed laws requiring insurance coverage for a full range of colorectal cancer screening tests. A few other states require coverage of only certain tests or have agreements among insurers (instead of laws) to provide coverage for a full range of tests. Still others require that insurance for testing be offered or available through Medicare Supplemental Insurance (Medigap) policies or have no laws regarding coverage.

States that have screening laws that ensure coverage for a full range of tests\*:

- Alaska
- Arkansas
- California
- Colorado
- Connecticut
- Delaware •
- Georgia •
- Illinois •
- Indiana •

- Kentucky
- Louisiana
- Maine
- Maryland
- Missouri •
- Nebraska
- Nevada
- New Jersey
- New Mexico

- North Carolina •
- Oregon •
- Pennsylvania
- Rhode Island
- Texas
- Virginia
- Washington
- Washington, D.C.
- West Virginia

States that have screening laws that require insurers to cover some but not all tests, or where insurers have voluntarily agreed to cover a full range of tests\*:

- Minnesota
- New York •
- Vermont
- Wyoming

\*Laws on coverage may vary slightly from state to state, so check with your insurer or your state government to see what is covered.

In all other states, either there are no laws requiring insurance coverage, or there are laws that require insurers to offer (not necessarily provide) coverage.

# Medicare coverage

Medicare covers an initial preventive physical exam for all new Medicare beneficiaries that must occur within one year of enrolling in Medicare. The "Welcome to Medicare" physical includes referrals for preventive services already covered under Medicare, including colon cancer screening tests.

# What colorectal cancer screening tests does Medicare cover?

Fecal occult blood test (FOBT) or fecal immunochemical test (FIT) every year for all Medicare beneficiaries 50 years and older

## Flexible sigmoidoscopy

- Every 4 years for those at high risk
- Every 4 years for those 50 years and older who are at average risk, but not within 10 years of a previous colonoscopy

# Colonoscopy

- Every 2 years for those at high risk (regardless of age)
- Every 10 years for those age 50 and older who are at average risk

**Double-contrast barium enema** (DCBE) as an alternative if a doctor determines that its screening value is equal to or better than flexible sigmoidoscopy or colonoscopy:

- Once every 2 years for those at high risk
- Once every 4 years for those 50 years and older who are at average risk

At this time, Medicare does not cover the cost of virtual colonoscopy or stool DNA tests. Coverage under private insurance varies, but many follow Medicare rules. If you have questions about your costs, including deductibles or copays, it is best to speak with your insurance company.

## What would a Medicare beneficiary expect to pay for a colorectal cancer screening test?

- **FOBT/FIT:** People age 50 years or older with Medicare pay no coinsurance and no Part B deductible.
- Flexible sigmoidoscopy: Beneficiary pays coinsurance or copayment. No Part B deductible unless the test results in the biopsy or removal of a growth. If the test is done in an outpatient hospital department or ambulatory surgical center, the beneficiary pays 25% of the Medicare approved amount.
- **Colonoscopy:** Beneficiary pays coinsurance or copayment. No Part B deductible unless the test results in the biopsy or removal of a growth (if a polyp is removed, the deductible applies). If the test is done in an outpatient hospital department or ambulatory surgical center, the beneficiary pays 25% of the Medicare approved amount.
- **DCBE:** Beneficiary pays coinsurance or copayment. No Part B deductible unless the test results in the biopsy or removal of a growth. If the test is done in an outpatient hospital department or ambulatory surgical center, the beneficiary pays 25% of the Medicare approved amount.

### Medicaid

States are authorized to cover colorectal screening under their Medicaid programs. Unlike Medicare, however, there is no federal assurance that all state Medicaid programs must cover colorectal cancer screening in people without symptoms. Medicaid coverage for colorectal cancer screening varies by

state. Some states cover fecal occult blood testing (FOBT), others cover colorectal cancer screening if a doctor determines the test to be medically necessary, and in some states, coverage varies according to which Medicaid managed care plan a person is enrolled in. Last Medical Review: 03/02/2011 Last Revised: 06/24/2011

**2.** About.com: Colon Cancer: <u>http://coloncancer.about.com/od/screening/a/Uninsured.htm?p=1</u> last accessed on 10/13/2011

# **Colon Cancer Screening for the Uninsured**

# Uninsured? You still have options.

From **Donna Myers**, former About.com Guide

Updated: July 5, 2008

About.com Health's Disease and Condition content is reviewed by the Medical Review Board

Even though most colorectal cancer is completely curable if diagnosed early, it's still the second-leading cause of cancer deaths in the United States. That's why screening is so important. Did you know that getting a <u>colonoscopy</u><sup>1</sup> can reduce the average person's risk of dying from colorectal cancer by 90%? *Ninety percent!* Pretty convincing, right? But what if you're uninsured?

# **Out-of-Pocket Price for the Uninsured**

Approximately 47 million Americans are uninsured and many assume they can't afford <u>colon cancer</u> <u>screening</u><sup>2</sup>. If insurance isn't going to pay for it, knowing how much the test costs becomes a lot more important. Some tests aren't as expensive as you might expect; the cost of others may surprise you. Here are the basics:

- Fecal Occult Blood Test:<sup>3</sup> \$10 \$25
- <u>Sigmoidoscopy:</u><sup>4</sup> \$150 \$300
- <u>Double-Contrast Barium Enema:</u><sup>5</sup> \$250 \$500
- <u>Virtual Colonoscopy:</u><sup>6</sup> \$500 \$900
- <u>Colonoscopy:</u><sup>7</sup> \$800 \$2,000

You may be able to afford some form of screening even if you're uninsured. Why not check with nearby healthcare providers to see how much they'd charge and if they offer any payment plans? If paying for a test isn't feasible, financial help may be available from some of the following state and local resources.

# Medicaid Assistance for the Uninsured

Medicaid is a federal healthcare program administered by individual states. That means the federal government tells states: you *can* do this, you *can't* do that, and here's some stuff you can do if you want to, but you don't have to. Colon cancer screening falls into the last category. States are authorized to cover the screening, but each one gets to decide what kind of screening it will provide and under what circumstances. How can you find out what Medicaid covers in your state? Keep reading.

## State Screening Programs for the Uninsured

The website for your state's government should have information about healthcare resources in your state, county, and possibly your city or town. The web address is usually your state sandwiched between "www" and "gov" (e.g., www.maryland.gov). Another great resource for uninsured individuals is <u>GovBenefits.gov</u><sup>8</sup>. The site can help you find and determine your eligibility for state-administered programs like Medicaid.

## Local Screening Programs for the Uninsured

Sometimes mobile screening centers visit communities and offer free cancer screening. Your local health department may do the same or offer free screening days on-site at the clinic or hospital. Two good ways to find local health resources are to search the <u>yellow pages online</u><sup>9</sup> or check the blue pages in your phone book. (The blue pages provide organizational and contact information for state and local government entities.)

## Additional Resources for the Uninsured

<u>Benefits CheckUp</u><sup>10</sup> offers an online questionnaire that can help uninsured individuals identify private or government programs to help with prescription drug and other healthcare issues.

The U.S. Centers for Disease Control (CDC) also sponsors a "Screening for Life" program that reimburses participating health departments for cancer screening. When you contact your state or local health department, you may want to ask if they participate in CDC's "Screening for Life" program. Yes, they're the ones who should bring it up, but we all know that sometimes you have to ask a question *just right* to get the answer (or service) you're seeking.

The CDC also set up five screening centers in the U.S. where uninsured and underinsured individuals can get free or reduced-cost colon cancer screening (as well as other types of tests). You can learn more about these centers at the <u>Find a Local Program</u><sup>11</sup> portion of the CDC's website.

If you'd like to share this article with others, please feel free to print the <u>Colon Cancer Screening for the</u> <u>Uninsured</u><sup>12</sup> pamphlet.

### **Related Articles:**

- <u>Colon Cancer Screening Recommendations</u><sup>13</sup>
- How to Get Someone You Love to Screened for Colorectal Cancer<sup>14</sup>

- <u>Medicare Benefits for Colorectal Cancer Screening</u><sup>15</sup>
- Free or Low Cost Heath Care for those in Need<sup>16</sup>

Sources:

"Action Plan on Colorectal Cancer for the State of Texas: Financial and Policy Issues: Costs and Cost-Effectiveness." *Texas Cancer Data Center* 2 Jun. 2006. Accessed 28 Jan. 2007. [http://www.tcc.state.tx.us/colonplan/financial.html].

"Frequently Asked Questions About Colon Cancer." American Cancer Society Accessed 28 Jan. 2007.

 $[http://www.cancer.org/docroot/cri/content/CRI\_2\_6x\_Frequently\_Asked\_Questions\_About\_Colon\_Cancer.asp].$ 

"Income, Poverty, and Health Insurance Coverage in the United States: 2006." U.S. Census Bureau August 2007. Accessed 3 Sep. 2007 [http://www.census.gov/prod/2007pubs/p60-233.pdf].

"Medicaid Program: General Information: Overview." *Centers for Medicare and Medicaid Services* 25 Apr. 2006. Accessed 28 Jan. 2007 [http://www.cms.hhs.gov/MedicaidGenInfo].

"Screening for Life: Tests for Breast, Cervical and Colorectal Cancer." *Deleware Health and Social Services* 3 Nov. 2006. Accessed 28 Jan. 2007 [http://www.dhss.delaware.gov/dhss/dph/dpc/sfl.html].

This About.com page has been optimized for print. To view this page in its original form, please visit: <u>http://coloncancer.about.com/od/screening/a/Uninsured.htm</u>

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#### Links in this article:

- 1. http://coloncancer.about.com/od/screening/a/Colonoscopy.htm
- 2. http://coloncancer.about.com/od/colonoscopy/a/CCScreeningAvg.htm
- 3. http://adam.about.com/encyclopedia/007008.htm
- 4. http://coloncancer.about.com/od/glossaries/g/Sigmoidoscopy.htm
- 5. http://adam.about.com/encyclopedia/003817.htm
- 6. http://coloncancer.about.com/od/glossaries/g/VirtualColonosc.htm
- 7. http://coloncancer.about.com/od/glossaries/g/Colonoscopy.htm
- 8. http://www.govbenefits.gov/govbenefits\_en.portal;jsessionid=Ec84p04oFm4VHGBO0Wsk2EgbkZufXOmpyG4apYnrP8 gfGvh5fyQU!-1968145239?\_nfpb=true&\_pageLabel=gbcc\_page\_locate\_state&\_nfls=false
- 9. http://yp.yahoo.com
- 10. http://www.benefitscheckup.org
- 11. http://www.cdc.gov/cancer/colorectal/what\_cdc\_is\_doing/demonstration/search.htm
- 12. http://coloncancer.about.com/library/PDF/blScreeningUninsured.pdf
- 13. http://coloncancer.about.com/od/colonoscopy/a/CCScreeningAvg.htm
- 14. http://coloncancer.about.com/od/screening/a/SomeoneScreened.htm
- 15. http://coloncancer.about.com/od/screening/a/MedicareBenefit.htm
- 16. http://freebies.about.com/od/healthfreebies/qt/freeorlowcosthc.htm?nl=1

# 3. National Conference of State Legislatures: <u>http://www.ncsl.org/default.aspx?tabid=14328</u>

Colorectal Cancer Screening: What are States Doing? Updated: August 2011

## BACKGROUND

Colorectal cancer, or cancer of the colon or rectum, is the third leading cause of cancer-related deaths in the United States for both men and women. The <u>American Cancer Society</u> estimates 141,210 new colon and rectal cancer cases will be diagnosed in 2011, and approximately 49,380 people will die from colorectal cancers. This accounts for about 9 percent of all cancer deaths.

For more detailed information about colorectal cancer prevention, screening and treatment, please see the American Cancer Society's resources <u>here</u>.

## **RISK FACTORS**

The risk for developing colorectal cancer increases with advancing age, and over 90 percent of colorectal cancers are diagnosed in people aged 50 and older. Other risk factors include inflammatory bowel disease, a personal or family history of colorectal cancer or colorectal polyps, and certain hereditary syndromes. Lack of regular physical activity also contributes to a person's risk for colon cancer, as well as low fruit and vegetable intake, a low-fiber and high-fat diet, obesity, alcohol consumption, and tobacco use.

## **SIGNS & SYMPTOMS**

Early stage colon or rectal cancers have very few symptoms, which makes screenings more important in catching the cancer early. Advanced stage symptoms may include rectal bleeding, blood in the stool, a change in bowel habits and cramping pain in the lower abdomen. The most common way of finding colon and rectal cancers is through regular screenings.

### SCREENING

Colorectal cancer screening tests can find polyps, or abnormal growths, **before** they turn into cancer. Screening tests can also find colorectal cancer early, when treatment works best and the chance for a full recovery is very high. The American Cancer Society and Multi GI Task Force published updated screening guidelines in early 2008. Those guidelines can be found here: http://caonline.amcancersoc.org/cgi/content/full/58/3/130#SEC2

For a 50 state map and listing of colorectal cancer screening statistics, click here: <a href="http://www.statehealthfacts.org/comparemaptable.jsp?ind=666&cat=2">http://www.statehealthfacts.org/comparemaptable.jsp?ind=666&cat=2</a>

Several scientific organizations recommend regular screening for all adults aged 50 years or older. Recommended screening procedures and intervals are as follows:

- Fecal Occult Blood Test (FOBT or FIT) every year.
- Stool DNA Testing (sDNA), Interval uncertain
- Flexible sigmoidoscopy every 5 years.
- Double-contrast barium enema every 5 years.
- Total colon examination by colonoscopy every 10 years.
- Computed tomographic colonography (virtual colonoscopy) every 5 years.

Persons at higher risk should begin screening at a younger age and may need to be tested more frequently.

According to the <u>Centers for Disease Control and Prevention</u> (CDC), screening for colorectal cancer lags far behind screening for other cancers. As of 2011, approximately 53.2% of men and of women aged 50 years or older had undergone screening in the past 10 years. Use of screening for colorectal cancer was particularly low among those respondents who lacked health insurance, those with no usual source of health care, and those who reported no doctor's visits within the preceding year. If caught in its early stages, people treated for colon cancer have a 5-year relative survival rate of 90%. As many as 60 percent of deaths from colorectal cancer could be prevented if everyone age 50 and older were screened regularly. The map below summarizes recent screening rates.

# If you live in one of the following locations, you may be eligible for free or low-cost colorectal cancer screening through the CDC-funded <u>screening demonstration program</u>. To learn more:

- Suffolk County, New York: Call (631) 444-7644
- Baltimore, Maryland: Call (410) 887-3456 or 1 (866) 632-6566
- King, Clallam, and Jefferson counties, Washington: Call 1 (800) 756-5437
- Nebraska: Call 1 (800) 532-2227
- St. Louis, Missouri: Call (314) 879-6392

If you live elsewhere in the U.S., please call 1 (800) 4-CANCER or 1 (800) ACS-2345 to learn more about screening options in your community. You may also be able to find information about free or low-cost screening by calling your local department of health.

## **Additional Resources**

American Cancer Society: <u>Colorectal Cancer Facts & Figures 2011-2013</u> Centers for Disease Control & Prevention: <u>Colorectal Cancer Basic Information</u>



#### **Colorectal Cancer Control Programs, 2010**

Although colorectal cancer—cancer of the colon or rectum—is the second leading cancer cause of death, it doesn't have to be. Screening programs and prevention efforts by state colorectal cancer control programs have reduced mortality rates in recent years. Twenty-two states and four tribal organizations started these programs with funding from the Centers for Disease Control and Prevention (CDC) in 2009.

These cancer control programs have funding to provide colorectal cancer screening and followup care to low-income men and women ages 50 to 64 who are underinsured or uninsured for screening, when no other payment option is available. Screening can find precancerous polyps (abnormal growths in the colon or rectum) so that they can be removed before cancer develops. Screening also helps identify colorectal cancer at an early stage, when treatment often leads to a cure. If everyone age 50 or older had regular, recommended screening tests and all precancerous polyps were removed, as many as 60 percent of deaths from colorectal cancer could be prevented.

While screening procedures—considered a secondary prevention method—can effectively reduce mortality by identifying undiagnosed cases, primary prevention efforts are often more cost effective. These prevention efforts reach more people than state screening programs.

Some examples of primary prevention policies used in state colorectal programs include communitywide efforts to reduce behaviors associated with increased cancer risk, such as:

- Reducing tobacco use.
- Reducing alcohol abuse.
- Encouraging healthier food choices and opportunities for exercise.

**Sources:** Centers for Disease Control and Prevention, state websites, and National Conference of State Legislatures. Thomas R. Frieden, et al., "A Public Health Approach to Winning the War Against Cancer," The Oncologist 13 (2008): 1306-1313.



Source: CDC, 2008 Behavioral Risk Factor Surveillance System

### **STATE POLICY**

Supporters of mandated insurance coverage of colorectal screening tests argue that these policies are cost beneficial, because they encourage the identification of precancerous polyps or cancer at its earliest stages. Treatment costs for more advanced colorectal cancer are expensive and confer higher risks to the patient. Opponents of mandated insurance benefits believe that requiring companies to cover these policies increases overall costs, and results in less people able to afford insurance premiums. Colorectal cancer tests are said to increase the cost of premiums by four to eight dollars. Currently, at least **29 states and the District of Columbia** require coverage of colorectal cancer screening

tests. A few other states require that they be offered or available through Medicare Supplemental policies.

The following table provides detailed information on state colorectal cancer screening laws. Grayed or italicized states offer, rather than require, colorectal cancer screening coverage as an insurance or Medicare policy benefit.

Many state laws refer to American Cancer Society (ACS) guidelines which were developed for average risk women and men ages 50 and older. ACS guidelines also allow for five different screening options: yearly fecal occult blood test (FOBT), flexible sigmoidoscopy every 5 years, yearly fecal occult blood test plus flexible sigmoidoscopy every 5 years, double contrast barium enema every 5 years, and colonoscopy every 10 years. And finally, ACS defines high-risk individual as having:

(a) A family history of: familial adenomatous polyposis; hereditary non-polyposis colon cancer; or breast, ovarian, endometrial or colon cancer or polyps;

(b) Chronic inflammatory bowel disease; or

(c) A background, ethnicity or lifestyle that the physician believes puts the person at elevated risk for colorectal cancer.

Colorectal Cancer Screening Laws By State 2010				
State Year of	Coverage Requirements			
Enactment Bill Number/Citation	Policies covered:	Consumers covered:	Benefits and Services covered:	
<b>Alabama</b> (2004) AL S 403	Mandated offering for group health benefit plans	Persons who are 50 years of age or older or high risk.	Examinations and tests in accordance with ACS guidelines.	
Alaska (2006) <u>21.42.395</u>	All individual & group plans	Persons who are 35-40 in high risk group, African American or anyone over 40.	Examinations and tests age for those 35-40 in high risk group or person 40 or older.	
<b>Arkansas</b> (2005) HB 2781, Act 2236	Individual and group HMOs, Medicaid, State Employees' and Public School Teachers' Health Insurance Program.	Persons: (1) who are 50 years of age or older; (2) who are less than 50 years of age and at high risk for colorectal cancer according to the ACS guidelines; and (3) experiencing specified symptoms of colorectal	Examinations and tests in accordace with ACS guidelinges. The covered person will determine the choice of screening strategies in consultation with a health care provider.	

		cancer.	
California	Medicare supplement	All Medicare supplemental	Provide preventive medical
(1992)	policies	policies.	care coverage of up to \$120
CAL. INS. CODE §§			per year for services not
10194 and			covered by Medicare,
10194.2			including: (1) a mammogram;
(1999)			and (2) a fecal occult blood
1367 665 (2000)			test. Tests may be done at a
	All individual & group	Anyone	frequency considered medically
	plans	,	appropriate.
			Mandate covers ALL medically accepted cancer screening tests.
Connecticut	All individual & group	Individuals defined by ACS as	Annual fecal occult blood test.
(2001)	plans	average and high risk.	Colonoscopy, flexible
Public Act No. 01-			sigmoidoscopy and radiologic
171			imaging according to American
			College of Gastroenterology
			guidelines.
Delaware	All individual and	Individuals defined by ACS as	Annual fecal occult blood test,
(2000)	group plans, HMO's,	average and high risk;	colonoscopy every 10 years,
Title 18Chap. 35	health service	screening performed at	flexible sigmoidoscopy every 5
Sub. Chap III§	corporations	frequency determined by	years, and double contrast
3562		physician.	barium enema every 5 to 10
			years.
District of	All individual and		
Columbia	group plans, including	Individuals defined by ACS as	In accordance with ACS
(2002)	Medicaid	average and high risk.	screening options.
31-2931			
Georgia	All health insurance	Individuals defined by ACS as	In accordance with ACS
(2002)	plans	average and high risk.	screening options.
33-24-56.3			

Hawaii (2009) <u>SB 2599</u> Act No. 157	All health insurance plans	Individuals age 50-75	In accordance with the evidence-based recommendations established by the United States Preventive Services Task Force.
<b>Illinois</b> (1999, amended 2003) SB 1417	All individual and group plans	Individuals defined by ACS as average risk.	All cancer screenings and laboratory tests in accordance with the published ACS guidelines or other existing guidelines from government agencies, including the NCI, the CDC, and the American College of Gastroenterology.
Indiana (2000) <u>HB 1293</u>	Mandated offering for individual policies; mandated benefit for group self insurance program and HMOs for state employees, and employer-based plans	Individuals defined by ACS as average and high risk.	In accordance with ACS screening options.
Kentucky (2010) KRS § 304.17A- 257	All benefit plans	Individuals over age 50 and those under 50 deemed high risk by the ACS.	All colorectal cancer examinations and laboratory tests specified in current American Cancer Society guidelines for colorectal cancer screening.
<b>Louisiana</b> (2005) HB 36 <u>Act 505</u>	All insurers or HMOs issuing or renewing on or after Jan. 1, 2006	Individuals defined by American College of Gastroenterology and ACS as average risk.	Routine screening includes a fecal occult blood test, flexible sigmoidoscopy, or colonoscopy provided in accordance with ACS.
<b>Maine</b> (2009) 24-A M.R.S. § 2763	Group and individual insurers	Individuals 50 years of age or older; or Less than 50 years of age and at high risk for colorectal cancer according to the most recently published colorectal	Examination and laboratory test recommended by a health care provider in accordance with the most recently published colorectal cancer screening guidelines of a

		cancer screening guidelines of	national cancer society.
		a national cancer society	
Maryland	Insurers, HMOs and	Individuals defined by ACS as	In accordance with ACS
(2001)	nonprofit health	average and high risk.	screening options.
HB 190/SB 100	services plans		
Minnesota		Individuals defined by the	In accordance to standard
(1998)	All policies and plans	standard practice of	nractices of medicine
62A.30		medicine.	
Missouri	All individual and	Individuals defined by ACS as	In accordance with ACS
(1999)	group plans	average risk.	screening options.
376.1250			
<b>Nebraska</b> (2007) <u>44-7,102</u>	All individual and group plans	Individuals over 50 years old.	Such screening coverage shall include a maximum of one screening fecal occult blood test annually and a flexible sigmoidoscopy every five years, a colonoscopy every ten years, or a barium enema every five to ten years, or any combination, or the most reliable, medically recognized screening test available.
Nevada	All individual and	Individuals defined by ACS as	In accordance with ACS
(2003)	group plans	average risk.	screening options.
SB 183			
<u>NRS 695G.168</u>			
New Jersey	HMOs and all	Individuals defined by ACS as	In accordance with ACS
(2001)	individual and group	average and high risk.	screening options.
17B:26-2.1u	plans		
<b>New Mexico</b> (2007)	All individual and group plans	For anyone determined by health care provider.	In accordance with the evidence-based recommendations established by the United States preventive services task force.
North Carolina	Teachers and State	Individuals defined by ACS as	In accordance with ACS

(1991, 1995,	Employee Major	average and high risk.	screening options.
2001)	Medical Plan and all		
§58-3-179	health insurance plans		
Oklahoma (2001) §36-6060.8a (mandated offering 2006)	Individual and group policies (mandated offering)	Individuals defined by ACS as average and high risk.	Plans required to offer coverage for colorectal examinations and laboratory tests in accordance with accepted published medical practice guidelines.
<b>Oregon</b> (2005) <u>SB 501</u>	HMOs and all individual and group plans, that cover medical, surgical and hospital costs, after Jan. 1, 2006	Individuals age 50 and over and high risk as recommended by a physician.	In accordance with ACS screening options.
<b>Pennsylvania</b> (2010) 40 P.S. § 764i	All health insurance policies group health, sickness or accident policy or subscriber contract or certificate offered to groups of fifty-one (51) or more employees.	Nonsymptomatic covered individuals who are fifty (50) years of age or older.	A colonoscopy or any combination of colorectal cancer screening tests in accordance with the American Cancer Society guidelines on screening for colorectal cancer published as of January 1, 2008.
Rhode Island (2000) <u>§27-18-58</u>	All individual and group plans	Nonsymptomatic individuals.	In accordance with ACS screening options.
<b>Tennessee</b> (2003) §57-7-2363	All individual and group plans(mandated offering)	Individuals defined by ACS as average risk.	In accordance with ACS screening options.
<b>Texas</b> (2001) §1363.001	All health insurance plans	Persons 50 years or older.	Annual fecal occult blood test and a flexible sigmoidoscopy every five years or a colonoscopy every 10 years.
<b>Vermont</b> (2009)	All health insurance plans	Persons 50 years or older or otherwise determined as high	Annual fecal occult blood testing with a flexible sigmoidoscopy every five years

<u>Act No. 34</u>		risk.	or a colonoscopy every 10 years.
<b>Virginia</b> (2000) <u>§38.2-3418.7:1</u>	State employees health insurance program, and individual and group plans	Individuals defined by ACS as average and high risk.	In accordance with ACS screening options.
<b>Washington</b> (2007) 48.43 RCW	All health insurance plans	Individuals at high risk under 50 years old or anyone over 50 years old.	Examinations and laboratory tests consistent with the guidelines or recommendations of the United States preventive services task force or the federal centers for disease control and prevention (CDC).
<b>West Virginia</b> (2000) §33-25A-8e	All health insurance plans	Persons age 50 and over; Symptomatic persons less than 50 years of age when reimbursement or indemnity for laboratory or X-ray services are covered under the policy.	Annual fecal occult blood test, flexible sigmoidoscopy every 5 years, colonoscopy every 10 years and a double contrast barium enema every 5 years.
<b>Wisconsin</b> (2010) SB 163	All health insurance plans	As determined by the commissioner, in consultation with the secretary of health services and after considering nationally validated guidelines, including guidelines issued by the American Cancer Society for colorectal cancer screening, shall promulgate rules that do all of the following: 1. Specify guidelines for the colorectal cancer screening that must be covered under this subsection. 2. Specify the factors for determining whether an	In accordance with ACS screening options.

		individual is at high risk for colorectal cancer and as medically appropriate.	
Wyoming	HMOs and all group	Nonsymptomatic individuals	Colorectal cancer examination
(2001)	plans		and laboratory tests.
<u>HB 0026</u>			

Sources: Centers for Disease Control and Prevention, National Cancer Institute. Updated March, 2006. American Cancer Society, 2009.

Contact <u>NCSL</u> for more information.

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# 4. GPRA Reporting Logic

# GPRA Reporting

IHS Clinical Reporting System (BGP): Selected Measures (Local) Report Performance Measure List and Definitions Version 11.1 (May 2011) Available at: http://www.ihs.gov/cio/crs/documents/crsv11/SelectedMeasuresV11 1.pdf

# 2.5.3 Colorectal Cancer Screening

**Denominator GPRA**: Active Clinical patients ages 51–80 without a documented history of colorectal cancer or total colectomy, broken down by gender.

# Numerators

1. GPRA: Patients who have had any CRC colorectal screening, defined as any of the following:

- Fecal Occult Blood Test (FOBT) or Fecal Immunochemical Test (FIT) during the report period;
- Flexible sigmoidoscopy or double contrast barium enema in the past five years;
- Colonoscopy in the past 10 years

Note: This numerator does not include refusals.

2. Patients with documented CRC screening refusal in the past year.

3. Patients with FOBT or FIT during the report period.

4. Patients with a flexible sigmoidoscopy or double contrast barium enema in the past 5 years or a colonoscopy in the past 10 years.

5. Patients with a flexible sigmoidoscopy in the past 5 years or a colonoscopy in the past 10 years.

6. Patients with a flexible sigmoidoscopy and double contrast barium enema in the past 5 years or a colonoscopy in the past 10 years.

Definitions

Age: Age is calculated at the beginning of the report period.

Denominator Exclusions: Any diagnosis ever of one of the following:

- Colorectal Cancer
  - POV 153.\*, 154.0, 154.1, 197.5, V10.05
  - CPT G0213-G0215 (old codes), G0231 (old code)
- Total Colectomy
  - CPT 44150–44151, 44152 (old code), 44153 (old code), 44155–44158, 44210–44212
     Procedure 45.8 (old code)

**Colorectal Cancer Screening**: The most recent of any of the following during applicable time frames (changed to look at most recent screening):

- FOBT or FIT
- CPT 82270, 82274, 89205 (old code), G0107 (old code), G0328, G0394 (old code)
- LOINC taxonomy (added codes 56490-6, 56491-4, 57905-2, 58453-2)
- Site-populated taxonomy BGP GPRA FOB TESTS
- Flexible Sigmoidoscopy
  - Procedure 45.24
  - CPT 45330-45345, G0104
- Double Contrast Barium Enema
  - CPT or V Radiology 74280, G0106, G0120
- Colonoscopy
  - POV V76.51 Colon screening
  - Procedure 45.22, 45.23, 45.25, 45.42, 45.43
  - CPT 44388-44394, 44397, 45355, 45378-45387, 45391, 45392, G0105, G0121

### Screening Refusals in Past Year

- FOBT or FIT
  - Refusal of V Lab Fecal Occult Blood test or
  - CPT code 82270, 82274, 89205 (old code), G0107 (old code), G0328, or G0394 (old code)
- Flexible Sigmoidoscopy
  - Refusal of Procedure 45.24 or
  - CPT 45330-45345, G0104
- Double Contrast Barium Enema
  - Refusal of V Radiology CPT 74280, G0106, G0120
- Colonoscopy
  - Refusal of Procedure 45.22, 45.23, 45.25, 45.42, 45.43 or
  - CPT 44388-44394, 44397, 45355, 45378-45387, 45391, 45392, G0105, or G0121

**GPRA 2011 Description**: During FY 2011, achieve the tentative target rate of 36.7% for the proportion of clinically appropriate patients ages 51–80 who have received colorectal screening. **Patient List**: List of patients 51–80 with CRC screening or refusal, if any.

# 5. PDSA Worksheet





The Change

Date:

What are we testing?

Who are we testing the change on?

Where are we testing?

**Predictions** 

Data What data do we need to collect?

Who will collect the data?

**DO:** Carry out the change/test; collect data and begin analysis

What was actually tested?
What happened?
Observations
Problems

**STUDY:** Complete analysis of data, summarize what was learned, compare data to predictions

# ACT:

What changes should we make before the next test cycle?

What will the next test cycle be:

Readiness to implement the change:

Tool 8.2.2

# PDSA Worksheet <u>Plan Do</u> <u>Study</u> <u>Act</u>

Organization/Team: Date: Cycle #:

PURPOSE/OBJECTIVE OF CYCLE:

PLAN: the change, data collection and predict

# The Change

- What are we testing?
- Who are we testing the change on?
- When are we testing?
- Where are we testing?

# Predictions

• What do we expect to happen?

# Data

- What data do we need to collect?
- Who will collect the data?
- When will the data be collected?
- Where will the data be collected?



- **DO:** Carry out the change/test; collect data and begin analysis
  - What was actually tested
  - What happened?
  - Observations
  - Problems

STUDY: Complete analysis of data, summarize what was learned, compare data to predictions

# ACT:

- What changes should we make before the next test cycle?
- What will the next test cycle be?
- Are we ready to implement the change?

From: Iowa State



# What? The creation of a flowchart or workflow diagram that helps describe a process in a picture that illustrates specific steps in the process.

**Why?** Mapping the steps in any process is helpful in identifying steps that waste time, drain efficiency, create bottlenecks in your system or just do not add any value. Process mapping can be used to understand any process in your facility, from patient registration, to accessing pharmacy and laboratory services to screening. Once the process is mapped, it easier to identify places where changes can be made to improve.

**Materials:** Large sheet of white or brown butcher paper, markers post-it notes, tape.

# Sample Flowchart



# Step 1: Process Begin/End

- Where does a process begin? Where does a process end?
- Place these on opposite ends of the paper.

# **Step 2: Steps to Complete Process**

- Put each step of the process on a separate post-it note. Use a verb to start the task description.
- Make a flowchart. Annotate the flowchart with as much or as little information as needed to describe each step. (Use additional post-it notes, maybe a different color)

# Step 3: Sequence the Steps

- Use post-it notes so you can move tasks around.
- Do not draw arrows until later.

# Step 4: Use Symbols

Start with the basic symbols:

- Ovals: the input to start of the process and the output at the end of the process.
- Boxes or rectangles: task or activity performed in the process.
- Arrows: process direction flow.
- Diamonds: points in the process where a yes/no questions are asked or a decision is required.
- Usually there is only one arrow out of an activity box. If there is more than one arrow, you may need a decision diamond.
- If there are feedback arrows, make sure feedback loop is closed; i.e. it should take you back to the input box.

# Step 5: System Model

- Draw charts using system model approach.
- Input use information based upon people, machines, material, method, and environment.
- Process use subsets of processes in series or parallel.
- Output use outcomes or desired results.
- Control use best in class business rules.
- Feedback use information from surveys or feedback.

# Step 6: Check for Completeness

• Include pertinent chart information, using title and date for easy reference.

# Step 7: Finalize the Flowchart

- Obtain input about the process you just mapped from stakeholders- people different departments, supervisors, patients and family members. Ask them if this process is accurately mapped from their perspective and is it working the way it should be.
- Do stakeholders agree that the staff is following the process as charted?
- What changes can we make? What is not adding value? How can waiting be eliminated? What is missing that needs to be added?